

SECURITIES ACT

R-003-2003

Registered with the Registrar of Regulations

2003-03-28

SECURITIES FEES REGULATIONS, amendment

The Commissioner, on the recommendation of the Minister, under section 54 of the *Securities Act* and every enabling power, orders as follows:

- 1. The *Securities Fees Regulations*, R.R.N.W.T. 1990,c.20 (Supp.), are amended by these regulations.**
- 2. The Schedule is amended to the extent set out in the Schedule to these regulations.**
- 3. These regulations apply according to their terms before they are published in the *Nunavut Gazette*.**
- 4. These regulations come into force on the later of March 31, 2003 and the day on which they are registered with the Registrar of Regulations.**

SCHEDULE

1. Paragraphs 1(a) to (d) are repealed and the following substituted:

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|-------|---|-------|
| (a) | application for registration and annual renewal of registration as a dealer or adviser | \$650 |
| (b) | application by a dealer or adviser to open a branch office or sub-branch office in Nunavut | \$100 |
| (c) | application for registration of an individual to act on behalf of a dealer or adviser and annual renewal of registration of an individual | \$250 |
| (d) | application for transfer of registration of an individual to act on behalf of another dealer or adviser | \$100 |
| (d.1) | application for registration of an individual to act on behalf of more than one dealer or adviser and renewal of registration of an individual to act on behalf of more than one dealer or adviser, per each additional dealer or adviser | \$250 |
| (d.2) | application by a dealer, adviser or individual to change the registration category or amend the terms and conditions in respect of which the dealer, adviser or an individual is registered | \$100 |
| (d.3) | notice of change in registration information not otherwise provided for in this Schedule | NIL |